



Minority and Disadvantaged Supplier Questionnaire

At Twin Rivers Technologies we are required to report quarterly sales to several of our customers. In addition we must report our quarterly spending with historically underutilized business (HUB) as well as other categories such as women-owned, veteran-owned, etc. The full list is shown below. We would greatly appreciate your company's participation in the survey below. This survey **WILL NOT** affect any current or future business with Twin Rivers Technologies. This is solely used for reporting purposes. We do not share individual company data, just summarize purchases across all categories noted. We appreciate your time and assistance with this effort.

Company Name: _____

Contact Person and Title: _____

1. Does your business qualify as minority, disadvantaged or woman-owned?

- Yes, it does.
- No, it does not.

2. If yes to question 1: Business Classification: (supplier must be listed in SBA's Pro-Net System as Certified SDB, Hub Zone, or by another US Government entity).

- LGBT- Owned
- Disabled Owned Business
- HUB Zone Business
- Veteran Owned Business
- Service-Disabled Veteran Owned Business
- Women-Owned Business
- Other (Please Specify) _____

3. Minority Group: (check all that apply, check at least one if you are claiming minority status)

- Black/African American
- Native American
- Asian American
- Asian Subcontinent American
- Asian Pacific American
- Hispanic American
- Canadian Aboriginal/ Visible Minorities
- Multi Racial
- Multi- Ethnic
- Other (Please Specify) _____

Please return Completed Survey to: Heather.Bonasera@trtlp.com or Tim.Regan@trtlp.com and copy of your state certification if applicable.

Instructions and Definitions

Minority-Owned Business:

A small business that is at least 51% owned by one or more minorities or, in the case of a publicly owned business, at least 51% of the stock is held by one or more minorities and whose management and daily business operations are controlled by at least one minority. Ethnic Minority owners must be citizens of the United States NAICS (North American Industry Classification System).

Woman-Owned Business:

An enterprise that is 51% owned by one or more women, who control the firm by exercising the power to make policy decisions and operate the business by being actively involved in the day-to-day management. NAICS.

Small or Disadvantaged Business Enterprise (SDB)

In order for a concern to represent that it is and SDB, it must have: (1) Received a certification from SDB that it qualifies as an SDB; or (2) submitted an application for SDB certification to SBA or a Private Certifier, and must not have received a negative determination regarding that application from SBA or the Private Certifier (Code of Federal Regulations, title 13 – Business Credit and Assistance, Part 124 Section 1001).

Small Business:

A concern, including its affiliates, that is independently owned and operated and is not dominant in the field of operation for which it is soliciting business, and qualified as a small business under the criteria and size standards in 13 CFR part 121 (see FAR 19.102). Such a concern is not dominant in its field of operation when it does not exercise a controlling or major influence on a national basis in a kind of business in which a number of business concerns are primarily engaged.

Veteran-Owned Business:

A small business that is at least 51% owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more veterans and whose management and daily business operations are controlled by at least one veteran.

Service Disabled Veteran Business Enterprise:

A business concern certified by the Office of Small Business Certification and Resources or the Association for Service Disabled Veterans as meeting all of the requirements: Either an enterprise that is at least 51% owned by one or more disabled veterans of, in the case of a publicly held business, at least 51% of its stock is owned by one or more disabled veterans; a subsidiary that is wholly owned by a parent corporation, but only if at least 51% of the voting stock of the parent corporation is owned by one or more disabled veterans; or a joint venture in which at least 51% of the joint venture's management, control and earnings are held by at least one disabled veteran. The management and control of the daily business operations are held by at least one disabled veteran. The disabled veterans who exercise management and control are not required to be the same disabled veterans as the owners of the business concern. A sole proprietorship, corporation or partnership with its home office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm or other foreign-based business.